

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

COOPER MOORE and ANDREW GILLETTE,

Plaintiffs-Movants,

v.

ROBINHOOD FINANCIAL, LLC,

Defendant-Respondent.

Case No.: 1:23-mc-76

**MEMORANDUM IN
SUPPORT OF MOTION TO
QUASH SUBPOENA**

TABLE OF CONTENTS

I.	INTRODUCTION.....	1
II.	PLAINTIFFS HAVE STANDING TO MOVE TO QUASH THE SUBPOENA.....	2
III.	FACTUAL BACKGROUND.....	2
IV.	LEGAL STANDARD.....	5
V.	THE SUBPOENA MUST BE QUASHED BECAUSE IT SEEKS PRIVILEGED MATTERS.....	6
A.	The Subpoena Seeks Plaintiffs’ Privileged Attorney-Client Communications.....	6
(1)	The communications were between a prospective client and counsel for the purpose of obtaining legal advice.....	7
(2)	The communications were intended to be and were in fact kept confidential.....	10
B.	The Subpoena Seeks Information Protected from Disclosure by the Attorney Work Product Privilege.....	11
V.	CONCLUSION.....	15

TABLE OF AUTHORITIES

Cases

<i>Barton v. U.S. Dist. Ct. for Cent. Dist. of Cal.</i> , 410 F.3d 1104 (9th Cir. 2005).....	7, 8, 9, 10, 13
<i>Bauman v. Jacobs Suchard, Inc.</i> , 136 F.R.D. 460 (N.D. Ill. 1990).....	8
<i>Bennett Silvershein Associates v. Furman</i> , 776 F. Supp. 800 (S.D.N.Y.1991).....	8
<i>Bogard Constr., Inc. v. Oil Price Info. Serv., LLC</i> , 604 F. Supp. 3d 895 (N.D. Cal. 2022).....	5
<i>Bolding v. Banner Bank</i> , 2021 WL 1530998 (W.D. Wash. Apr. 19, 2021).....	6
<i>Coe v. Cross-Lines Ret. Ctr., Inc.</i> , 2022 WL 17338289 (D. Kan. Nov. 30, 2022).....	7
<i>Connelly v. Dun & Bradstreet</i> , 96 F.R.D. 339 (D. Mass. 1982).....	8
<i>Conti v. Doe</i> , 2019 WL 5198882 (S.D.N.Y. Oct. 1, 2019).....	5
<i>De La O v. Arnold-Williams</i> , 2007 WL 9717727 (E.D. Wash. March 8, 2007).....	7
<i>Don v. Unum Life Ins. Co. of Am.</i> , 2014 WL 12603207 (C.D. Cal. Nov. 4, 2014).....	9, 13
<i>Elliott Assocs., L.P. v. Republic of Peru</i> , 176 F.R.D. 93 (S.D.N.Y. 1997).....	5
<i>Ferruza v. MTI Tech.</i> , 2002 WL 32344347 (C.D. Cal. June 13, 2002).....	14
<i>First Hawaiian Bank v. Russell & Volkening, Inc.</i> , 861 F. Supp. 233 (S.D.N.Y. 1994).....	8
<i>Gates v. Rohm & Haas Co.</i> , No. 06-1743, 2006 WL 3420591 (E.D. Pa. Nov. 22, 2006).....	8
<i>Grinnell Corp. v. ITT Corp.</i> , 222 F.R.D. 74 (S.D.N.Y. 2003).....	11
<i>Herbert v. Haytaian</i> , 678 A.2d 1183 (N.J. Super. Ct. App. Div. 1996).....	7
<i>Hickman v. Taylor</i> , 329 U.S. 495 (1947).....	12
<i>Kelwin Inkwel, LLC v. PNC Merch. Servs. Co., L.P.</i> , 2020 WL 13077200 (E.D.N.Y. June 5, 2020).....	13
<i>McGrath v. Nassau Cnty. Health Care Corp.</i> , 204 F.R.D. 240 (E.D.N.Y. 2001).....	12, 14
<i>Nova Products, Inc. v. Kisma Video, Inc.</i> , 220 F.R.D. 238 (S.D.N.Y. 2004).....	2

<i>Pilkington N. Am., Inc. v. Mitsui Sumitomo Ins. Co. of Am.</i> , 341 F.R.D. 10 (S.D.N.Y. 2022).....	12
<i>Rosso, Johnson, Rosso & Ebersold v. Superior Court</i> , 191 Cal. App. 3d 1514 (1987).....	8
<i>Samad Bros., Inc. v. Bokara Rug Co. Inc.</i> , 2010 WL 5094344 (S.D.N.Y. Nov. 30, 2010).....	2
<i>Seebeck v. Gen. Motors Corp.</i> , 1996 WL 742914 (N.D. Ga. May 17, 1996).....	14
<i>Shelton v. Am. Motors Corp.</i> , 805 F.2d 1323 (8th Cir. 1986).....	15
<i>Smith-Brown v. Ulta Beauty, Inc.</i> , 2019 WL 2644243 (N.D. Ill. June 27, 2019).....	14
<i>Steele v. CVS Pharmacy, Inc.</i> , 2016 WL 1659317 (S.D.N.Y. Apr. 18, 2016).....	13
<i>Tartaglia v. Paul Revere Life Ins. Co.</i> , 948 F. Supp. 325 (S.D.N.Y. 1996).....	5, 6
<i>United States ex rel. Ortiz v. Mount Sinai Hosp.</i> , 169 F. Supp. 3d 538 (S.D.N.Y. 2016).....	2
<i>U.S. Sec. & Exch. Comm’n v. Collector’s Coffee Inc.</i> , 337 F.R.D. 70 (S.D.N.Y. 2020).....	12
<i>United States v. Vepuri</i> , 2022 WL 3648184 (E.D. Pa. Aug. 24, 2022).....	14
<i>U.S. v. Yonkers Bd. Of Educ.</i> , 946 F.2d 180 (2d Cir. 1991).....	14
<i>Vodak v. City of Chicago</i> , 2004 WL 783051 (N.D. Ill. Jan. 16, 2004).....	7, 11
<u>Rules & Statutes</u>	
Fed. R. Civ. P. 26.....	11
Fed. R. Civ. P. 45.....	1, 5, 6
Fed. R. Evid. 501.....	5
N.J. Stat. § 2A:84A-20(3).....	7
<u>Other Authority</u>	
9A Charles Alan Wright & Arthur R. Miller, <i>Federal Practice and Procedure</i> (3d ed. 2008).....	2

I. INTRODUCTION

On March 2, 2023, Robinhood Financial LLC (“Robinhood”) subpoenaed Season4, LLC, the operator of ClassAction.org, for documents related to a web posting Season4 posted on its website on behalf of the law firm Berger Montague PC (“Berger”). (Ex. 1 attached to the Declaration of E. Michelle Drake (“Drake Decl.”) (the “Subpoena”). The posting was intended to identify prospective clients for Berger in the State of Washington related to a scam Robinhood invented to pay and enable its users to spam their contacts with text message advertisements asking those contacts to “join Robinhood.” Movants Cooper Moore and Andrew Gillette (“Plaintiffs”) viewed the web posting and contacted Berger through a form provided on the website, providing information for the purpose of obtaining legal advice about a potential claim against Robinhood. Moore and Gillette subsequently retained Berger to assert a legal claim under Washington law against Robinhood related to spam text messages they received and are now the named plaintiffs in the underlying putative class action lawsuit that gave rise to the Subpoena.

The Subpoena—directed by Robinhood at opposing counsel’s service provider—improperly seeks (i) the content of the communications that Plaintiffs sent to their litigation counsel through the website and (ii) Plaintiffs’ counsel’s communications with Season4 which reflect Plaintiffs’ counsel’s assessment and analysis of Plaintiffs’ claims against Robinhood. The Subpoena thus invades Plaintiffs’ interests in the confidentiality of their attorney-client communications and attorney work product. Because the Subpoena requires disclosure of privileged or other protected matter, Rule 45 requires the Court to quash the Subpoena. Fed. R. Civ. P. 45(d)(3)(A)(iii)-(iv).

II. PLAINTIFFS HAVE STANDING TO MOVE TO QUASH THE SUBPOENA.

The Subpoena seeks Plaintiffs’ attorney-client privileged pre-litigation communications with counsel and attorney work product. Plaintiffs are seeking to protect the confidentiality of those privileged communications.

“A party ordinarily lacks standing to quash a subpoena directed at a nonparty *unless the party is seeking to protect a personal privilege or right.*” *See United States ex rel. Ortiz v. Mount Sinai Hosp.*, 169 F. Supp. 3d 538, 545 (S.D.N.Y. 2016) (emphasis added) (citing *Nova Products, Inc. v. Kisma Video, Inc.*, 220 F.R.D. 238, 241 (S.D.N.Y. 2004)). “[T]he claim of privilege or right must be personal to the movant, not to the non-party witness on whom the subpoena was served.” *Samad Bros., Inc. v. Bokara Rug Co. Inc.*, 2010 WL 5094344, at *2 (S.D.N.Y. Nov. 30, 2010). *See also* 9A Charles Alan Wright & Arthur R. Miller, *Federal Practice and Procedure* § 2459 (3d ed. 2008) (“Ordinarily a party has no standing to seek to quash a subpoena issued to someone who is not a party to the action, unless the objecting party claims some personal right or privilege with regard to the documents sought.”).

Here, Plaintiffs claim a personal right in protecting the privilege attached to the communications and documents Robinhood seeks with the Subpoena and are therefore excepted from the general rule that prohibits a party from moving to quash a subpoena directed to a non-party. *See United States ex rel. Ortiz*, 169 F. Supp. 3d at 545.

III. FACTUAL BACKGROUND.

Season4 provides services to law firms that connect those law firms with individuals who have experienced potential corporate misconduct and are seeking legal advice. Season4 has the technical expertise and infrastructure necessary to perform this service on behalf of law firms and the consumers who benefit from obtaining legal advice. In May 2021, Berger requested that

Season4 publish information regarding an investigation Berger was conducting to identify consumers who received unsolicited marketing text messages that Robinhood caused its users to send through a “refer-a-friend” program, in violation of Washington law. Season4 published a webpage on ClassAction.org regarding the investigation and for the purpose of connecting Berger with prospective clients. (Ex. 2 at Ex. A.)

Both Plaintiff Moore and Plaintiff Gillette viewed the webpage regarding the Robinhood investigation on ClassAction.org. (Exs. 2 ¶ 2 & 3 ¶ 2.) They both filled out the form on the page for the purpose of obtaining legal advice regarding a potential legal claim against Robinhood related to spam text messages they received and to retain counsel for any potential legal claim. (Exs. 2 ¶ 3 & 3 ¶ 3.) They each expected that the information they provided would be sent to a lawyer and that the communication would be kept confidential. (Exs. 2 ¶ 4 & 3 ¶ 4.)

Plaintiffs’ belief that their communications sent to Berger through ClassAction.org were confidential is supported by the commitments Season4 makes to consumers on the website. For example, in June 2021 the “About Us” page on ClassAction.org featured an “FAQ” which asked “Is my information kept private?”¹ The answer provided stated: “The only thing we will do with the information you provide us is send it to the attorneys we work with so that you can take legal action or get help. *Your information will never go to any other third party.*” (Emphasis added.) The ClassAction.org Terms of Use also provide that “This Site forwards information you provide in connection with S4 Content to the lawyers, law firms, legal financial service providers, or other

¹ Wayback Machine, ClassAction.org, About Us (June 1, 2021), <https://web.archive.org/web/20210601040007/https://www.classaction.org/about-us>.

legal service providers as set forth in these Terms of Use and *keeps this information confidential.*”² This promise was the same in May 2021.³

Plaintiffs’ intentions in filling out the forms on the website are further evidenced by the fact that they did retain Berger. (Ex. 2 ¶ 5; Ex. 3 ¶ 5.) Accordingly, Berger filed a complaint naming Plaintiff Moore against Robinhood in the Northern District of California on August 9, 2021. The case was transferred to the Western District of Washington and Berger filed the operative First Amended Complaint against Robinhood naming Plaintiff Moore and Plaintiff Gillette on February 9, 2022. *Moore, et al. v. Robinhood Financial, LLC*, No. 2:21-cv-01571-BJR (W.D. Wash.), ECF No. 54 (“FAC”). The Court denied Robinhood’s Motion to Dismiss the First Amended Complaint on August 3, 2022. *Moore*, ECF No. 63. During discovery, Plaintiffs produced documents reflecting the ClassAction.org ads they viewed.

On March 2, 2023, Robinhood issued the Subpoena to Season4 seeking, inter alia, the Plaintiffs’ communications with Berger submitted through ClassAction.org (Ex. 1, Request No. 2.a) and Berger’s communications with Season4 regarding the webpage published by Season4 or Plaintiffs’ lawsuit (*id.*, Request Nos. 1, 2.b). The Subpoena lists New York, NY as the place of compliance and March 21, 2023 as the date of compliance. (*Id.*) Should the Subpoena not be quashed, Season4 would be compelled to produce Plaintiffs’ communications with Berger and possibly communications between Berger and Season4 reflecting Berger’s assessment of Plaintiffs’ claims. Plaintiffs’ pre-litigation communications with its counsel, Berger, are, and should remain, privileged.

² ClassAction.org, Terms of Use § 9, <https://www.classaction.org/terms-of-use> (emphasis added).

³ Compare Wayback Machine, ClassAction.org, Terms of Use § 9 (May 11, 2021), <https://web.archive.org/web/20210511042232/https://www.classaction.org/terms-of-use>.

IV. LEGAL STANDARD.

Rule 45 provides that a court “must quash or modify a subpoena that . . . requires disclosure of privileged or other protected matter, if no exception or waiver applies” or that “subjects a person to undue burden.” Fed. R. Civ. P. 45(d)(3)(A)(iii)-(iv). Motions to quash are to be decided by “the court for the district where compliance is required[.]” Fed. R. Civ. P. 45(d)(3).

In diversity actions, courts “must look to state law to determine questions of privilege.” *Conti v. Doe*, 2019 WL 5198882, at *2 (S.D.N.Y. Oct. 1, 2019); *accord* Fed. R. Evid. 501 (“[I]n a civil case, state law governs privilege regarding a claim or defense for which state law supplies the rule of decision.”). Plaintiffs’ claims in the underlying action were brought based on diversity jurisdiction, FAC ¶ 12, and accordingly state law governs determinations of privilege.

To resolve which state’s laws on privilege apply, the Court must first look to New York’s choice of law rules. *See Tartaglia v. Paul Revere Life Ins. Co.*, 948 F. Supp. 325, 326 (S.D.N.Y. 1996) (explaining that “[f]ederal district courts sitting in diversity cases apply the conflict of laws rules prevailing in the state in which they are situated,” and applying New York’s choice of law rules to determine which state’s privilege laws apply to motion to compel brought under Rule 45 where underlying action was a diversity case brought in the U.S. District Court for the Northern District of Ohio); *accord Bogard Constr., Inc. v. Oil Price Info. Serv., LLC*, 604 F. Supp. 3d 895, 900–01 (N.D. Cal. 2022) (applying Maryland choice of law rules to determine which state’s law governs privilege questions because Maryland was the “original forum for this Rule 45 subpoena compliance proceeding” prior to being transferred pursuant to Fed. R. Civ. P. 45(f)).

Under New York’s choice of law rules regarding privilege, New York courts ordinarily “apply the law of the place where the evidence in question will be introduced at trial, or the location of the discovery proceeding itself.” *Elliott Assocs., L.P. v. Republic of Peru*, 176 F.R.D. 93, 96

(S.D.N.Y. 1997); *accord Tartaglia*, 948 F. Supp. at 326 (“New York choice of law gives controlling effect to the law of the jurisdiction which, because of its relationship or contact with the occurrence or the parties, has the greatest concern with the specific issue raised in the litigation.”). Here, Plaintiffs are located in Washington, Plaintiffs’ claims are based on violations of Washington state law, the prospective clients that communicated with Season4 were primarily located in Washington, and the relevant advertisement placed by Season4 on behalf of Berger was directed at “Washington consumers” that received illegal promotional text messages from Robinhood “in violation of Washington’s Consumer Protection Act.” (*See* Ex. 1.) Accordingly, Washington likely has the “greatest concern,” and thus Washington state law should govern determinations of privilege.

However, the Court need not engage in lengthy conflict-of-law analysis because there is no conflict. Regardless of which state’s substantive law applies, the attorney-client privilege extends to Season4, which was acting as an agent for Berger by finding and connecting prospective clients seeking legal advice with Plaintiffs’ counsel.

V. THE SUBPOENA MUST BE QUASHED BECAUSE IT SEEKS PRIVILEGED MATTERS.

B. The Subpoena Seeks Plaintiffs’ Privileged Attorney-Client Communications.

The Subpoena seeks Plaintiffs’ confidential and privileged communications with Berger and therefore “must” be quashed. Fed. R. Civ. P. 45(d)(3)(A)(iii)-(iv). The pre-litigation communications between Plaintiffs and Berger are privileged. The communications were (1) a communication between a prospective client and counsel, which (2) were intended to be and were in fact kept confidential, and (3) made for the purpose of obtaining or providing legal advice (Exs. 2 ¶ 3 & 3 ¶ 3 .) The attorney-client privilege extends to communications with prospective clients. *Bolding v. Banner Bank*, 2021 WL 1530998, at *2 (W.D. Wash. Apr. 19, 2021) (“Communications

from prospective clients with the aim of obtaining legal services are generally covered by the attorney-client privilege, even if the attorney is not ultimately retained,” and as such, whether communications with prospective or putative class members are privileged “does not turn on whether they occurred before or after the class was certified or the individual had opted in.”); *De La O v. Arnold-Williams*, 2007 WL 9717727, at *2–3 (E.D. Wash. March 8, 2007) (holding that “group meetings with attorneys preliminary to class action litigation are subject to attorney client privilege,” and “the contents of such meetings are not discoverable in litigation with persons outside of the group”).⁴

(1) The communications were between a prospective client and counsel for the purpose of obtaining legal advice.

Courts regularly hold that pre-retention communications, such as those at issue here, between attorney and prospective client are privileged. For example, in *Barton v. U.S. Dist. Ct. for Cent. Dist. of Cal.*, 410 F.3d 1104, 1111 (9th Cir. 2005), the Ninth Circuit held that “[t]here is nothing anomalous about applying the privilege to such preliminary consultations.” While the privilege would not apply “where the lawyer has specifically stated that he would not represent the individual and in no way wanted to be involved in the dispute,” it does apply where the lawyer

⁴ *Accord Coe v. Cross-Lines Ret. Ctr., Inc.*, 2022 WL 17338289, at *5 (D. Kan. Nov. 30, 2022) (explaining that “[t]he practice of gathering confidential information from prospective clients via a questionnaire is fairly common in cases involving large numbers of clients” and holding that the questionnaires were protected under the attorney-client privilege regardless of “[w]hether or not a particular resident had actually retained [the law firm] at the time of submission”); *Vodak v. City of Chicago*, 2004 WL 783051, at *2–3 (N.D. Ill. Jan. 16, 2004) (completed questionnaires by prospective clients were privileged). Again, the answer is also no different in New Jersey, which expressly defines a “client” for purposes of the attorney-client privilege to include a person or entity that “*consults* a lawyer or the lawyer’s representative for the purpose of retaining the lawyer or securing legal service or advice from him in his professional capacity.” N.J. Stat. § 2A:84A-20(3) (emphasis added); *accord Herbert v. Haytaian*, 678 A.2d 1183, 1188 (N.J. Super. Ct. App. Div. 1996) (“The creation of an attorney-client relationship does not rest on whether the client ultimately decides not to retain the lawyer....”).

“just made it clear that it did not represent the submitter yet.” *Id.* (emphasis in original). In so holding, the Ninth Circuit was

influenced by how fundamental the lawyer-client privilege is to the operation of an adversarial legal system. Potential clients must be able to tell their lawyers their private business without fear of disclosure, in order for their lawyers to obtain honest accounts on which they may base sound advice and skillful advocacy. There would be no room for confusion had the communication been in the traditional context of a potential client going into a lawyer’s office and talking to the lawyer. The changes in law and technology that allow lawyers to solicit clients on the internet and receive communications from thousands of potential clients cheaply and quickly do not change the applicable principles.

Id. at 1112 (footnotes omitted). Thus, “[m]ost courts have acknowledged, as a general matter, that an attorney-client relationship exists if ‘the party divulging confidences and secrets to an attorney believes that he is approaching the attorney in a professional capacity with the intent to secure legal advice.’” *First Hawaiian Bank v. Russell & Volkening, Inc.*, 861 F. Supp. 233, 238 (S.D.N.Y. 1994) (quoting *Bennett Silvershein Associates v. Furman*, 776 F. Supp. 800, 803 (S.D.N.Y. 1991)).

In cases such as the one at hand, when several individuals filled out questionnaires or forms provided to an attorney in a potential class action lawsuit, “the dispositive factor typically is whether the putative class members were seeking legal advice or representation at the time they filled out the questionnaires.” *Gates v. Rohm & Haas Co.*, No. 06-1743, 2006 WL 3420591, *3 (E.D. Pa. Nov. 22, 2006) (holding that the questionnaires at issue there were privileged). *See also*, e.g., *Bauman v. Jacobs Suchard, Inc.*, 136 F.R.D. 460 (N.D. Ill. 1990) (upholding a claim of privilege for responses to a letter and questionnaire); *Rosso, Johnson, Rosso & Ebersold v. Superior Court*, 191 Cal. App. 3d 1514 (1987) (holding the names and addresses of women who responded to newspaper advertisement by law firm were protected by the attorney-client privilege even as to persons who never retained the law firm); *Connelly v. Dun & Bradstreet*, 96 F.R.D. 339 (D. Mass. 1982) (determination of privileged “hinges upon the client's belief that he is consulting

a lawyer in that capacity and his manifested intention to seek professional legal advice”) (holding questionnaires were privileged); *Don v. Unum Life Ins. Co. of Am.*, 2014 WL 12603207, at *2 (C.D. Cal. Nov. 4, 2014) (explaining that the Ninth Circuit has applied the attorney client privilege “to prospective class members from whom lawyers were gathering information”).

The situation here parallels that in *Barton*, where prospective plaintiffs filled out questionnaires with a check box requiring each individual to “disclaim a purpose of ‘requesting legal advice,’ and to acknowledge that the submitter is not ‘forming an attorney client relationship by sending in the answers.” *Barton*, 410 F.3d at 111. As the Ninth Circuit explained, “the box does not disclaim the purpose of ‘securing legal service,’” and the questionnaire was “designed so that a person filling it out and submitting it is likely to think that he is requesting that the law firm include him in the class action mentioned at the beginning of the form.” *Id.*

Likewise, here, the ClassAction.org website and its Terms of Use both expressly provide that Season4 will forward users’ information to the lawyers sponsoring the relevant submission forms so that their potential claims can be reviewed. (Ex. 1 at Ex. 1 (“If you received a spam text from a contact inviting you to download Robinhood’s app, learn more about how legal action can help.... After you get in touch, ***a legal team will review your information***.... The information submitted on this page ***will be forwarded to Berger Montague*** who has sponsored this investigation.”) (emphasis added).) As such, potential claimants responding to the relevant advertisement sponsored by Berger are assured that their information will be provided to Berger, their information kept confidential, and they are “likely to think that [they are] requesting that the law firm include [them] in the class action mentioned at the beginning of the form.” *See Barton*, 410 F.3d at 111. Plaintiffs approached Berger, in Berger’s capacity as a law firm investigating Robinhood’s spam refer-a-friend text messages, through ClassAction.org and provided

information for the purpose of obtaining legal advice about a potential claim against Robinhood. (Exs. 2 ¶ 3 & 3 ¶ 3.) The webpage expressly referenced potential “legal action” several times and Plaintiffs subsequently retained counsel. (*Id.* ¶¶ 5.) The communications easily satisfy the first and third elements for establishing privilege.

(2) The communications were intended to be and were in fact kept confidential.

In establishing the second element, “[m]ore important than what the law firm intended,” however, “is what the clients thought.” *Barton*, 410 F.3d at 1107. Here, Season4 assured consumers that their responses to web forms would be kept confidential. And both Plaintiffs have stated that, when they filled out the form, they expected that the communication would be kept confidential. (Exs. 2 ¶ 4 & 3 ¶ 4.)

Plaintiffs’ expectations were reasonable where Season4 stated in the Terms⁵ that “This Site forwards information you provide in connection with S4 Content to the lawyers, law firms, legal financial service providers, or other legal service providers as set forth in these Terms of Use and *keeps this information confidential.*”⁶ The Terms distinguish the information provided in connection with “S4 Content,” i.e., webpages such as the one Plaintiffs viewed, from “any other questions, comments, suggestions, ideas, feedback, or other information about the Site or the Services (“Submissions”) provided by” users. While the Terms promise that the former is kept confidential, the other information is expressly “non-confidential.”⁷ Season4 repeats the promise that information provided when consumers fill out forms on the site will be kept confidential on

⁵ Plaintiffs agreed to the Terms by submitting the information through the form. (Ex. 1 at 12 (stating directly above blue “SUBMIT” button “By submitting this form, I agreed to the Terms . . .” and hyperlinking to the Terms of Use).)

⁶ ClassAction.org, Terms of Use § 9, <https://www.classaction.org/terms-of-use> (emphasis added).

⁷ *Id.*

the “About Us” page on ClassAction.org.⁸ The page in June 2021 stated that “The only thing we will do with the information you provide us is send it to the attorneys we work with so that you can take legal action or get help. *Your information will never go to any other third party.*” (*Id.* (emphasis added).) Based on these representations, Plaintiffs certainly could not have expected that their responses would end up in the hands of Robinhood.

Based on these representations, Plaintiffs’ expectations of confidentiality were reasonable. *See Vodak*, 2004 WL 783051, at *2 (holding questionnaires were privileged where the questionnaire “promises that the information provided will be “held in strict confidence and used only by the attorneys providing legal representation.”).

B. The Subpoena Seeks Information Protected from Disclosure by the Attorney Work Product Privilege.

Relatedly, the documents and communications sought by the Subpoena are also privileged under the work product doctrine, and thus the Subpoena should be quashed for this additional reason. “While state law generally provides the rules of decision for questions of privilege in diversity actions, federal law governs the applicability of the work product doctrine in all actions in federal court.” *Grinnell Corp. v. ITT Corp.*, 222 F.R.D. 74, 77 (S.D.N.Y. 2003) (internal quotations omitted). The work product doctrine is codified in Fed. R. Civ. P. 26(b)(3), which provides that ordinarily “a party may not discover documents and tangible things that are prepared in anticipation of litigation or for trial by or for another party or its representative (including the other party’s attorney, consultant, surety, indemnitor, insurer, or agent).” *Id.* “The work-product rule is designed to preserve a zone of privacy in which a lawyer can prepare and develop legal theories and strategy with an eye toward litigation, free from unnecessary intrusion by his

⁸ Wayback Machine, ClassAction.org, About Us (June 1, 2021), <https://web.archive.org/web/20210601040007/https://www.classaction.org/about-us>.

adversaries.” *United States Sec. & Exch. Comm’n v. Collector’s Coffee Inc.*, 337 F.R.D. 70, 74 (S.D.N.Y. 2020) (internal quotations omitted), *objections overruled*, 2021 WL 391298 (S.D.N.Y. Feb. 4, 2021). Because attorneys often must rely on the assistance of investigators and other agents in preparation for litigation, the work product doctrine necessarily protects “material prepared by agents for the attorney as well as those prepared by the attorney himself.” *Pilkington N. Am., Inc. v. Mitsui Sumitomo Ins. Co. of Am.*, 341 F.R.D. 10, 13 (S.D.N.Y. 2022) (internal quotations omitted).

To qualify for protection under the work product doctrine, “it must be shown that the material at issue (1) is a document or a tangible thing, (2) that was prepared in anticipation of litigation, and (3) was prepared by or for a party, or by his representative.” *Id.* (internal quotations and brackets omitted). The work product doctrine covers both “fact work product,” which includes “the result of a factual investigation,” and “opinion work product,” which includes “material that reveals the mental impressions, conclusions, opinions, or legal theories of an attorney or other representative.” *Collector’s Coffee Inc.*, 337 F.R.D. at 74 (internal quotations omitted). Opinion work product is “virtually sacrosanct” *McGrath v. Nassau Cnty. Health Care Corp.*, 204 F.R.D. 240, 243 (E.D.N.Y. 2001), and is not discoverable, if ever, absent extraordinary circumstances. *See* Fed. R. Civ. P. 26(b)(3)(B) (courts “must protect against disclosure of the mental impressions, conclusions, opinion, or legal theories of a party’s attorney or other representative concerning the litigation”); *Hickman v. Taylor*, 329 U.S. 495, 510 (1947) (“Not even the most liberal of discovery theories can justify unwarranted inquiries into the files and the mental impressions of an attorney.”).

Here, the Subpoenas plainly seek documents covered by the work product doctrine. That is, the Subpoena seeks: (1) the production of documents or tangible things; (2) that were prepared

in anticipation of litigation against Robinhood for its violations of Washington’s Commercial Electronic Mail Act and Consumer Protection Act due to its practice of assisting in the transmission of unsolicited text messages; and (3) the documents sought, including the prospective clients’ intake submissions, were prepared on the prospective clients’ behalf by Season4, acting as an agent for Berger. (Ex. 1.)

Indeed, courts have made clear that the information sought by the Subpoena—including the identities of putative class members—goes to the heart of the interests protected by the work product doctrine by seeking materials that constitute opinion work product. *Don v. Unum Life Ins. Co. of Am.*, 2014 WL 12603207, at *2, is instructive. There, the defendant sought to compel production of communications between plaintiff’s counsel and six unnamed putative class members. *Id.* at *1. The district court first held that communications between counsel and the putative class members were privileged under the attorney-client privilege, relying on the Ninth Circuit’s holding in *Barton*, 410 F.3d 1104. *Id.* at *2. Importantly, the district court was then “equally if not more persuaded” that the communications were entitled to opinion work product protection, including “disclosure even of the identities of the six putative class members.” *Id.* As the *Don* court further explained: “disclosure of the identity of the particular putative class members contacted by Plaintiff’s counsel would open the door to revealing Plaintiff’s counsel’s strategy and mindset as to why he might have chosen to interview or contact those individuals and not others; what counsel included in those communications; and perhaps what the insureds later disclosed to Plaintiff’s counsel, if that occurred.” *Id.*⁹

⁹ *Accord Steele v. CVS Pharmacy, Inc.*, 2016 WL 1659317, at *2 (S.D.N.Y. Apr. 18, 2016) (“[T]he identities of third-party witnesses pertinent to this case have the potential to reveal counsel’s opinions, thought processes or strategies and are, therefore, protected as work product.”); *Kelwin Inkwel, LLC v. PNC Merch. Servs. Co., L.P.*, 2020 WL 13077200, at *5 (E.D.N.Y. June 5, 2020) (discovery request asking “asking plaintiffs’ counsel to go through the list of witnesses and identify

The same is true here. The documents and communications sought would reveal the evaluations, mental impressions, opinions, and legal strategy of Plaintiffs' counsel and Season4, including, *inter alia*, the identity of putative class members that Plaintiffs' counsel chose to interview as well as which identity of putative class members they ultimately chose not to include as a named party. This information is squarely opinion work product, and as such, is "virtually sacrosanct" and not discoverable absent extraordinary circumstances. *See McGrath*, 204 F.R.D. at 243. As discussed below, the information sought by the Subpoena is utterly irrelevant to the underlying claims and defenses at issue in the litigation, and thus Robinhood falls *well* short of satisfying the heightened standard necessary to overcome the work product doctrine.

Here, correspondence between Berger and Season4 regarding the web page describing the Robinhood spam text investigation were clearly created by Plaintiffs' counsel or Season4 (at Plaintiffs' counsel's request) as part of counsel's efforts to find named plaintiffs "in anticipation of litigation." Berger's directions to Season4 reflect counsel's assessment of the claims that were later asserted by Plaintiffs and their litigation strategies. By targeting opposing counsel's service provider, Robinhood is improperly directing discovery at opposing counsel. *U.S. v. Yonkers Bd. Of Educ.*, 946 F.2d 180, 185 (2d Cir. 1991) (discovery directed at opposing counsel is

which witnesses counsel chose to interview" is "clearly work product"); *United States v. Vepuri*, 2022 WL 3648184, at *2 (E.D. Pa. Aug. 24, 2022) ("The compilation of witness lists and other materials by or at the direction of counsel can constitute work product."); *Smith-Brown v. Ulta Beauty, Inc.*, 2019 WL 2644243, at *5 (N.D. Ill. June 27, 2019) (interrogatory "asking defendants to identify the people their lawyers selected to interview" seeks "to reveal their lawyers' mental processes," and is thus "an impermissible invasion of work product"); *Ferruza v. MTI Tech.*, 2002 WL 32344347, at *3 (C.D. Cal. June 13, 2002) ("Although the identity and location of witnesses that may have knowledge of any discoverable matter is not protected, the identity of witnesses interviewed by opposing counsel is protected" as work product and goes "to the heart of the work product rule."); *Seebeck v. Gen. Motors Corp.*, 1996 WL 742914, at *1–3 (N.D. Ga. May 17, 1996) (list of witnesses interviewed by the attorney was protected as work product because the decision as to which persons to interview was based on legal work and analysis).

“disfavored”). By seeking these communications, Robinhood improperly seeks an advantage in the litigation that would be gained “on wits borrowed from the adversary.” *Shelton v. Am. Motors Corp.*, 805 F.2d 1323, 1327 (8th Cir. 1986). Robinhood is not entitled to this information, and Plaintiffs’ interests in the confidentiality of their counsel’s work product would be harmed if the Court does not quash the Subpoena.

V. CONCLUSION

Based on the foregoing, Plaintiffs respectfully request that the Court quash the Subpoena.

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